

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

JP020020105US1

10/523351

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.
PCT/JP2003/009505INTERNATIONAL FILING DATE
July 25, 2003PRIORITY DATE CLAIMED
July 29, 2002

TITLE OF INVENTION

WATERMARK SIGNAL GENERATING APPARATUS, WATERMARK SIGNAL GENERATING METHOD,
COMPUTER-EXECUTABLE PROGRAM FOR PERFORMING THE SAME METHOD AND COMPUTER-READABLE
STORAGE MEDIUM IN WHICH THE SAME PROGRAM IS STORED, DIGITAL WATERMARK EMBEDDING
APPARATUS, AS WELL AS DIGITAL TELEVISION APPARATUS INCLUDING THE SAME DIGITAL
WATERMARK EMBEDDING APPARATUS

APPLICANT(S) FOR DO/EO/US

International Business Machines Corporation

EV001687268US

January 28, 2005

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and Other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and 21 indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☐ are attached hereto
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Unexecuted Declaration and Power of Attorney

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/523351		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER JP920020105US1		EV001687268US	
21. The following fees are submitted:						January 28, 2005	
___ a) Basic National fee \$300.00				\$ 300.00			
___ b) Examination fee \$200.00				\$ 200.00			
___ c) Search fee \$500.00				\$ 500.00			
TOTAL OF ABOVE CALCULATIONS = \$1000.00				\$1,000.00			
Total sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE				
48-100 =	/50 =		x \$250.00	\$ 0.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from earliest claimed priority date (37 CFR 1.49(e)).				\$			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total claims	33- 20 =	13	x \$50.00	\$ 650.00			
Independent claims	6 - 3 =	3	x \$200.00	\$ 600.00			
MULTIPLE DEPENDENT CLAIM(S) (if applicable)		+ \$360.00		\$			
TOTAL OF ABOVE CALCULATIONS =				\$ 0.00			
___ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$			
SUBTOTAL =				\$2,250.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from earliest claimed priority date (37 CFR 1.492(f)).				\$			
TOTAL NATIONAL FEE =				\$2,250.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31), \$40.00 per property +				\$			
TOTAL FEES ENCLOSED =				\$2,250.00			
				Amount to be refunded:		\$	
				Amount to be charged:		\$	

- a. ___ A check in the amount of \$_____ to cover the above fee is enclosed.
- b. XX Please charge my Deposit Account No. 09-0468 in the amount of \$2,250.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. XX The Commissioner is hereby authorized to charge any additional fee which may be required, or credit any overpayment to Deposit Account No. 09-0468. A duplicate copy of this sheet is enclosed.
- d. ___ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Louis P. Herzberg
SIGNATURE
Louis P. Herzberg
NAME

41,500
REGISTRATION NUMBER

10/523351

DT12 Rec'd PCT/PTO 28 JAN 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Tachibana et al.

Docket No.: JP920020105US1

Serial No.:

Group No.:

Filed: HERewith

Examiner:

For: WATERMARK SIGNAL GENERATING APPARATUS, WATERMARK
SIGNAL GENERATING METHOD, COMPUTER-EXECUTABLE PROGRAM
FOR PERFORMING THE SAME METHOD AND COMPUTER-READABLE
STORAGE MEDIUM IN WHICH THE SAME PROGRAM IS STORED, DIGITAL
WATERMARK EMBEDDING APPARATUS, AS WELL AS DIGITAL
TELEVISION APPARATUS INCLUDING THE SAME DIGITAL WATERMARK
EMBEDDING APPARATUS

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Attn: DO/EO/US

EXPRESS MAIL CERTIFICATE

Express Mail Label Number EV001687268US

Date of Deposit January 28, 2005

I hereby certify that the attached paper or fee

Transmittal Letter to the United States Designated/Elected Office
(DO/EO/US) Concerning a Filing Under 35 U.S.C 371
Patent Application and 24 Sheets of Drawings
International Publication No. WO 2004/012453 A1
Preliminary Amendment
Declaration and Power of Attorney (unexecuted)
Return Postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Mail Stop PCT, Attn: DO/EO/US, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Allison Berkman

(Name)



Signature

Note: Each paper must have its own certificate and the "Express Mail" label number as a part thereof or attached thereto. When, as here, the certification is presented on a separate sheet, that sheet must (1) be signed and (2) fully identify and be securely attached to the paper or fee it accompanies. Identification should include the serial number and filing date of the application as well as the type of paper being filed, e.g. complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. If the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.